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Page 1 of 1

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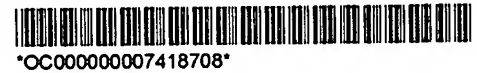
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,490	12/28/2001	Bernd Clauberg	US702364 (7790/69)

CONFIRMATION NO. 6482

FORMALITIES LETTER



BANIAK NICHOLAS PINE & GANNON
SUITE 2000
1603 ORRINGTON AVENUE
EVANSTON, IL 60201

Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

*A copy of this notice **MUST** be returned with the reply.*

Karla Quintana

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10037490
04/17/2002 WARRHW1 00000027 501713
01 FC:101 740.00 CH
02 FC:102 84.00 CH
03 FC:105 130.00 CH



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PATENT
Case No.: US702364 (7790/69)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BERND CLAUBERG, ROBERT A. ERHARDT

Serial No.: 10/037,490

Filed: DECEMBER 28, 2001

Title: LIGHT EMITTING DIODE DRIVER

Examiner:

Group Art Unit: 2879

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILED UNDER 37 C.F.R. 1.53(b)
Filing Date Granted**

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts Application, Filing Date Granted, dated February 4, 2002, a copy of which is attached, enclosed herewith for filing are the following documents to complete the filing requirements of the above-identified application.

- ☒ Executed Combined Declaration and Power of Attorney
- ☐ Statement Claiming Small Entity Status-(37 CFR 1.9(f) & 1.27(b)) – Independent Inventor
- ☒ Charge Deposit Account No. 50-1713 in the amount of \$954 for: Missing Parts Fees
- ☒ \$740 Filing fee [\$370 small entity; \$740 large entity (design \$165, \$330)]
- ☒ \$84 Additional Claim Fees
- ☒ \$130 Missing Parts Surcharge

Petition for Extension of Time to reply to the Notice of Missing Parts (and fee)

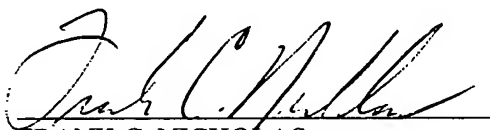
- | | | | | | |
|-------------------------------------|---|----------|---------------------------------------|---|------------|
| <input type="checkbox"/> one month | = | \$110.00 | <input type="checkbox"/> three months | = | \$920.00 |
| <input type="checkbox"/> two months | = | \$400.00 | <input type="checkbox"/> four months | = | \$1,440.00 |

- ☒ Other: 37 C.F.R. 1.33 Change of Correspondence.
- ☒ The Assistant Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment to Deposit Account No. 50-1713. A duplicate copy of this paper is enclosed.

Dated: APRIL 2, 2002

Respectfully submitted,

CARDINAL LAW GROUP
1603 Orrington Avenue, Suite 2000
Evanston, Illinois 60201
(847) 905-7111


FRANK C. NICHOLAS
Registration No. 33,983

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on APRIL 2, 2002.

APRIL 2, 2002
Date


FRANK C. NICHOLAS (33,983)

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TRANSMITTAL FORM

APR 12 2002

Used for all correspondence after initial filing)

Attorney Docket No.	US702364 (7790/69)
Application Number	10/037,490
Filing Date	DECEMBER 28, 2001
First Named Inventor	BERND CLAUBERG
Group Art Unit	2879
Examiner	

ENCLOSURES (check all that apply)

- | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Amendment/Response to Restriction/Election Req.
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)

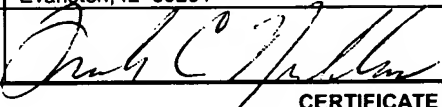
<input type="checkbox"/> Status Letter

<input type="checkbox"/> Extension of Time Request (duplic)
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement, PTO-1449, art
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawings:
<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> To Convert a Provisional Application
<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney, 37 CFR 1.33 Change of Correspondence
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Request of Refund
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. <u>50-1713</u> . A duplicate copy of this sheet is enclosed. | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Post Card Receipt
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Notice To File Missing Parts of Nonprovisional Application
<input type="checkbox"/>
<input type="checkbox"/> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

CALCULATION OF FEE

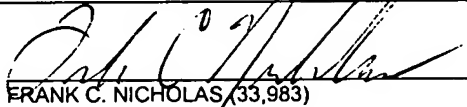
				Small Entity		or	Large Entity	
	Claims After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=	0	x \$18=	
Indep.		Minus			x \$42=	0	x \$84=	
First Presentation of Multiple Dep. Claim					+ \$140=	--	+ \$280=	
					total add'l fee	\$ 0	total add'l fee	\$ 0

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	FRANK C. NICHOLAS Registration No. 33,983 Cardinal Law Group 1603 Orrington Avenue, Suite 2000 Evanston, IL 60201		
Signature		Date:	APRIL 2, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: APRIL 2, 2002

Signature	 FRANK C. NICHOLAS (33,983)	Date:	APRIL 2, 2002
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